

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : B01D 27/07, 29/07, 25/24, 27/08, 35/30		A1	(11) International Publication Number: WO 97/40910 (43) International Publication Date: 6 November 1997 (06.11.97)
(21) International Application Number: PCT/US97/06613			(81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 24 April 1997 (24.04.97)			
(30) Priority Data: 08/639,154 26 April 1996 (26.04.96) US			
(71) Applicant: DONALDSON COMPANY, INC. (US/US); 1400 West 94th Street, Minneapolis, MN 55440-1299 (US).			
(72) Inventors: FRIEDMANN, Francis, A.; 752 16th Avenue North, South St. Paul, MN 55075 (US). GILLINGHAM, Gary, R.; 17305 Flemming Lane, Prior Lake, MN 55372 (US). MATTHYS, Bernard, A.; 204 Strese Lane, Apple Valley, MN 55124 (US). RISCH, Daniel, T.; 1116 136th Street West, Burnsville, MN 55337 (US). WAGNER, Wayne, M.; 120 Redwood Drive, Apple Valley, MN 55124 (US). WAHLQUIST, Fred, H.; 8444 11th Avenue South, Bloomington, MN 55420 (US).			
(74) Agent: BRUESS, Steven, C.; Merchant, Gould, Smith, Edell, Welter & Schmidt, 3100 Norwest Center, 90 South Seventh Street, Minneapolis, MN 55402 (US).			
(54) Title: FILTERING DEVICE WITH CORRUGATED AND ROLLED FILTER MEDIA			
(57) Abstract			
A filter apparatus includes a fluted filter element in a filter housing having a first open end and a second closed end. The filter element includes fluted filter media coiled into a cylindrical shape and may include an end cap for support and fluid control. Fluid flows into the open end and either around the filter to the closed end and back through the filter element or through the filter element to the closed end and then back through a central opening in the filter element.			

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LJ	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

FILTERING DEVICE WITH CORRUGATED AND ROLLED FILTER MEDIA

Background of the Invention1. Field of the Invention

The present invention relates to a filter apparatus, and in particular, to a
5 liquid filter apparatus having fluted filter media.

2. Description of the Prior Art

Filters which are used for filtering gases or liquids are well known and are
commonly utilized for many types of filtering applications. Although prior filter
devices are able to satisfactorily filter a substantial portion of the particulate and
10 other materials from the fluids, still further improvements are possible. Filter
density has become more critical as greater performance is required, while
minimizing weight, volume and cost. A longer filter service interval without loss of
efficiency has also gained importance.

A common problem with filter designs is inadequate filter surface area. For
15 liquid filters, such as fuel filters, water, oil or coolant filters, the effective filtering
surface area for a given volume of filter has not been entirely satisfactory. Pleated
filters are commonly used which utilize a pleated filter media. A common liquid
filter design which utilizes pleated filter media with radially inward flow is shown in
Figures 1 and 2. Such filters utilize a pleated filter cartridge which typically mounts
20 in a metal spin-on canister. Although this type of filter does remove a substantial
amount of particulate and other matter, it has several disadvantages. A large portion
of the internal volume of the filter is wasted space which is not utilized for filtration.
The wasted space is due to the manner in which the filter media is pleated and
positioned around a perforated central core. The hollow central core is needed to
25 support the filter media and to allow an area for filtered fluid to collect and be
directed out of the filter element after passing through the filter media.

Another problem with the pleated filter design is that the nature of pleated
filters provides an upper limit as to the amount of filter media which may be
positioned around the central core. The smaller the inner diameter of the filter and
30 the center core, the more difficult it becomes to package the media in this manner.
The number of pleats becomes too great and the pleats touch at an inner portion.
This configuration limits the amount of filter media that can be put in a given

volume, thereby causing uneven loading of contaminants on only some portions of the filter media and increasing the pressure drop across the filter. As today's automobiles require longer service intervals, pleated filters are often unable to operate over the extended interval without sacrificing efficiency. In addition, even 5 though the pleats are spaced very tightly at their inner diameter, the pleats are very widely spaced at their outer diameter, as shown in Figure 2. The gaps are required with the nature of the prior art design and require large space which cannot be used for filter media.

Another drawback to the pleated filter design is that with wide gaps between 10 pleats, it is difficult for the pleats to maintain their positions so that as the filter loads with contaminants, the pleats may shift or bunch. This may cause pressure drop across the filter to increase and may result in failure of the filter. To overcome this problem, filters of this type often have to utilize hot melt beads, wraps of string, or other materials to help maintain the widely spaced pleats in position. However, 15 these measures increase the complexity, weight and costs of such filters.

In addition to the drawbacks of the filtering efficiencies and volume required, conventional fluid filters are relatively complex and require a high number of parts, adding to the component and manufacturing costs. Problems associated with manufacturing include the steps of pleating the filter media prior to positioning 20 around the center core, packing into a cylindrical shape, and forming a seal where the pleats connect. In addition, the center core must provide substantial support to the pleated filter media to withstand the pressure differential which tends to collapse the filters when loading with contaminant. To add additional support, the ends of the filter media generally must be potted with a plastisol material and inserted into 25 metal end caps. Other supports may be placed around the outer diameter of the pleats such as hot-melt beads, wrapping strings or outer liners. A spring is typically utilized in the bottom of a spin-on canister to hold the filter cartridge tightly against an upper seal at the opening to prevent contaminated fluids from bypassing the filter.

It can be seen then that a new and improved liquid filtering apparatus is 30 needed. In particular, the filtering apparatus should be structurally self-supporting to reduce support required for the filter media. In addition, such an apparatus should provide increased filter media for a given volume, thereby decreasing the pressure

drop across the filter and the total volume required for the filter. Such a filter should also provide for flexibility in the flow path through the filter element within a canister and decrease the central area within the filter media. Such a device should also reduce the total number of parts and manufacturing complexity of a filter. The 5 present invention addresses these as well as other problems associated with liquid filters.

Summary of the Invention

The present invention is directed to a filter apparatus, and in particular to a 10 liquid filter apparatus. The filter apparatus includes a substantially cylindrical housing which is open at one end, having a fluted filter media element retained therein. The fluted filter utilizes a fluted filter layer rolled into a substantially cylindrical filter element. In a first embodiment, fluid flows into the exterior of the open end along the interior of the filter housing to the closed end and then backward 15 through the fluted filter element to an interior outlet at the open end. In a second embodiment, the fluid enters the exterior annular inlet at the open end, flows through the fluted filter element to the closed end and then flows through a center tube to the outlet at the open end.

These features of novelty and various other advantages which characterize 20 the invention are pointed out with particularity in the claims annexed hereto and forming a part hereof. However, for a better understanding of the invention, its advantages, and the objects obtained by its use, reference should be made to the drawings which form a further part hereof, and to the accompanying descriptive matter, in which there is illustrated and described a preferred embodiment of the 25 invention.

Brief Description of the Drawings

In the drawings, wherein like reference letters and numerals indicate corresponding elements throughout the several views:

30 Figure 1 shows a side sectional view of a prior art pleated fluid filter;
Figure 2 shows an end view of pleated filter media for the prior art filter shown in Figure 1;

Figure 3 shows a perspective view of fluted filter media according to the principles of the present invention;

Figures 4A-4B show diagrammatic views of the process of manufacturing the filter media shown in Figure 3;

5 Figure 5 shows a perspective view of the fluted filter media shown in Figure 3 spiraled in a cylindrical configuration according to the principles of the present invention;

Figure 6 shows a detail perspective view of a portion of the spiraled fluted filter media for the filter element shown in Figure 5;

10 Figure 7 shows an end elevational view of the fluted filter media shown in Figure 3;

Figure 8 shows a side sectional view of a first embodiment of a filter apparatus according to the principles of the present invention;

15 Figure 9 shows a side sectional view of a second embodiment of a filter apparatus according to the principles of the present invention;

Figure 10 shows a perspective view of a pleated filter element with an impregnated end portion;

Figure 11 shows a side partial sectional view of an end of a filter having an end cap with cross braces;

20 Figure 12 shows a top plan view of the end cap shown in Figure 11;

Figure 13 shows a perspective view of a second embodiment of an end cap;

Figure 14 shows a perspective view of a third embodiment of an end cap;

Figure 15 shows a perspective view of a second embodiment of fluted filter media used in the rolled filter elements shown in Figures 8 and 9;

25 Figure 16 shows an end elevation view of the filter media shown in Figure 15;

Figure 17 shows a side elevational view of a filter having a bead of sealant applied to the exterior of the filter element;

30 Figure 18 shows a sectional view of the filter media taken along line 18-18 in Figure 17;

Figure 19 shows a side elevational view of a filter apparatus according to the present invention having gaskets on the exterior of the filter element and corresponding beads formed in the filter housing according to the present invention;

5 Figure 20 shows a side sectional view of an alternate embodiment of a filter apparatus according to the present invention;

Figure 21 shows a perspective view of fluted filter media having tapered flutes according to the principles of the present invention; and,

Figure 22 shows an end elevational view of the filter media shown in Figure 21.

10

Detailed Description of the Preferred Embodiment

Referring now to the drawings, and in particular to Figure 3, there is shown a portion of a layer of permeable fluted filter media, generally designated 22. The fluted filter media 22 includes a multiplicity of flutes 24 which form a modified 15 corrugated-type material. The flute chambers 24 are formed by a center fluting sheet 30 forming alternating peaks 26 and troughs 28 mounted between facing sheets 32, including a first facing sheet 32A and a second facing sheet 32B. The troughs 28 and peaks 26 divide the flutes into an upper row and lower row. In the configuration shown in Figure 3, the upper flutes form flute chambers 36 closed at the downstream 20 end, while upstream closed end flutes 34 are the lower row of flute chambers. The fluted chambers 34 are closed by first end bead 38 filling a portion of the upstream end of the flute between the fluting sheet 30 and the second facing sheet 32B. Similarly, a second end bead 40 closes the downstream end 36 of alternating flutes 24. Adhesive tacks 42 connect the peaks 26 and troughs 28 of the flutes 24 to the 25 facing sheets 32A and 32B. In a preferred embodiment, the tacks 42 are placed only at the apex of the peaks 26 and troughs 28 to minimize the adhesive used and to maximize the open portions of the flute chambers 34 and 36. The flutes 24 and end beads 38 and 40 provide a filter element 22 which is structurally self-supporting without a housing.

30 During use, unfiltered fluid enters the flute chambers 36 which have their upstream ends open as indicated by the shaded arrows. Upon entering the flute chambers 36, the unfiltered fluid flow is closed off by the second end bead 40.

Therefore, the fluid is forced to proceed through the fluting sheet 30 or face sheets 32. As the unfiltered fluid passes through the fluting sheet 30 or face sheets 32, the fluid is filtered as indicated by the unshaded arrow. The fluid is then free to pass through the flute chambers 34, which have their upstream end closed and to flow 5 through the open downstream end out the filter media 22. With the configuration shown, the unfiltered fluid can filter through the fluted sheet 30, the upper facing sheet 32A or lower facing sheet 32B, and into a flute chamber 34 blocked on its upstream side.

Referring now to Figures 4A-4B, the manufacturing process for fluted filter 10 media which may be rolled to form filter elements, as explained hereinafter, is shown. It can be appreciated that when the filter media is spiraled, with adjacent layers contacting one another, only one facing sheet 32 is required as it can serve as the top for one fluted layer and the bottom sheet for another fluted layer. Therefore, it can be appreciated that the fluted sheet 30 need be applied to only one facing sheet 15 32.

As shown in Figure 4A, a first filtering media sheet is delivered from a series of rollers to a crimping roller 44 forming a nip with a second opposed crimping roller 44. In a similar manner, a second sheet 32 is fed to the rollers 44 and 45. A sealant applicator 47 applies a sealant 46 along the upper surface of the second sheet 20 32 prior to engagement between the crimping roller 44 and the opposed roller 45. As shown in Figure 4B, the first sheet 30 engages the corrugated surface of the roller 44, and as it is pressed between the opposed crimping roller 44, takes on a corrugated or fluted configuration matching that of the corrugated rollers 44. The troughs 28 have a sealant applied at their apex or are otherwise bonded to the facing 25 sheet 32 to form flute chambers 34. The sealant 46 forms first end bead 38 between the fluted sheet 30 and the facing sheet 32. The resultant structure of the facing sheet 32 sealed at one edge to the fluted sheet 30 is the layerable filter media 48, shown in Figure 6.

When forming a filter, a filter media spiral is formed, as shown in Figure 5. 30 It can be appreciated that the filter media layer 48 having a single backing sheet 32 and a single end bead 38 shown in Figure 6 can be wound to form a spiral-type cylindrical filter element, generally designated 52, shown in Figure 5 and shown in

greater detail in Figures 6 and 7. To form the spiral filter element 52, a bead of sealant is applied lengthwise on a mandrel 54, tube or end of a length of material. An end of the single sided fluted filter media 48 is secured to the mandrel 54 via the bead of sealant. The single sided fluted filter media 48 is then rolled onto the 5 mandrel as a second end bead 40 along a second edge of the filter media is applied with a sealant applicator to the fluted side of the single sided fluted filter media 48. As the fluted filter media 22 is rolled onto the mandrel, the second end bead 40 adheres to the first facing sheet 32 of the single sided fluted filter media, as shown in Figure 5. It can be appreciated that when the filter media is wound, with adjacent 10 layers contacting and sealing peaks and troughs of flutes, only one facing sheet is required as it serves as the top layer for one flute and the bottom layer for another flute. Therefore, as the second end bead 40 adheres to the first facing sheet 32, the downstream closed end flute 36 for the filter media spiral 52 is formed. When the required length of single side fluted filter media 48 is rolled onto the mandrel such 15 that the diameter of the filter media spiral 52 is as required, an outer sealing bead is applied to the free end of the single sided fluted filter media 48. The free end of the pleated filter media is then secured to the facing sheet 32 such that the free end adheres to the filter media spiral 52. In a preferred embodiment, the adhesive tacks 42 are applied only at the apex of the peaks and troughs and are applied at the same 20 time as the corresponding beads 38 and 40.

The filter media is configured so that when filtering, dirty fluid, as indicated by the shaded arrows, enters the upstream open ended flute chambers 36 which have their upstream ends open. After entering the upstream open ended flute chambers 36, the unfiltered fluid engages the second end bead 40. Therefore, the fluid is 25 forced to proceed through the fluting sheet 30. As the unfiltered fluid passes through the fluting sheet 30, the fluid is filtered as indicated by the unshaded arrow. The fluid is then free to pass through the downstream open end flute chambers 34, which have their upstream end closed, and out the filter media 48. In addition, the unfiltered fluid can filter through the facing sheet 32 to the chambers 34.

30 Referring now to Figure 8, there is shown a first embodiment of a filter apparatus, generally designated 100. In the embodiment shown, the filter apparatus 100 is configured for use as a liquid filter and is a spin-on filter mounting onto a

fitting for a circulating fluid system such as use as a diesel fuel filter or an engine oil filter. The filter includes a pleated, rolled filter element 102 inside a filter housing 104. The fluid enters an open end 106, flows axially through the filter element 102 and then exits the open end 106. The rolled filter element 102 has an end cap 110 mounted thereon and a gasket 108 forming a seal between the end cap and the annular center divider segment 124 of a mounting element 116. A gasket 118 forms a seal between the mounting element 116 and a mounting fixture. The end cap 110 may include cross braces, as explained below, which provide additional support to the end of the filter element 102. A center plug 120 is at the closed end of the housing 104 to block the center portion of the filter element 102 and may also provide a biasing force between the filter element 102 and the housing 104 to aid in positioning the filter element 102. In the embodiment shown, the filter element 102 includes a center tube 122 around which it is wound. However, it can be appreciated that the center tube 122 could be a solid member or the tube may be eliminated so that liquid flows on the periphery of the filter element and then reverses to flow from the closed end and through the filter element 102.

As shown, fluid enters the open end 106 in the annular opening of the mounting member 116 between the gasket 118 and the center annular divider segment 124. The unfiltered fluid then flows on the exterior of the end cap 110 along the inner wall of the housing 104 to the closed end of the housing 104. Fluid then flows through the open ends of the flutes in the filter element 102, passing through the fluted filter media to exit the flutes having open ends proximate the housing open end 106. The fluid then flows on the interior of the end cap 110 through the center opening 114 on the interior of the annular center divider segment 124 of the mounting member 116.

Referring now to Figure 9, there is shown an alternate embodiment of the filter apparatus, generally designated 100A. The filter apparatus 100A also includes a filter element 102A and a housing 104. However, the interior configuration is different from the embodiment shown in Figure 8 to obtain a flow pattern wherein liquid flows from the open end 106 axially through the filter element 102 to the closed end of the housing 104 and then upward through the center tube 122 exiting the open end 106 on the interior of the center divider segment 124 through the center

outlet 114. The filter apparatus 100A includes the mounting member 116 and the gasket 118 forming a seal between the mounting member 116 and a fitting. The filter apparatus 100A does not require an end cap proximate the open end as flow directly enters the flutes of the filter element 102A proximate the open end 106. A 5 gasket 108A inserts intermediate the center tube 122 which has an extension 123 extending upward therefrom that engages the center divider segment 124. In this manner, fluid enters intermediate the gasket 118 and the center divider segment 124. After passing downward, as shown in Figure 9, to the filter element 102A, the liquid passes through the filter media and exits flutes at the closed end of the filter housing 10. 104. Cross brace 130 provides support at the closed end to the filter element 102A. The filtered liquid then passes through the interior of the center tube 122 and exits the center of the annular divider segment 124.

Referring now to Figure 10, there is shown a fluted filter element 102 having a bonding material 112 applied to one end of the element. The filter element 102 is 15 impregnated with bonding material 112 at one end to strengthen and bond the individual flutes. The bonded end of the filter element 102 adds support to the filter media to resist rupturing under high pressure. In addition, the bonding prevents the coiled filter element 102 from telescoping.

Referring now to Figures 11 and 12, there is shown a second embodiment of 20 an end cap 140 and filter element 126 for use with the filter 100 shown in Figure 8. The end cap 140 includes cross braces 142 supporting an angled annular portion 146. The annular portion 146 includes a center orifice 144. An exterior flange 148 is configured to fit over the exterior of the filter element 126. The end cap 140 provides flow direction for the liquid as well as support for the filter element 126 to 25 prevent telescoping or collapsing.

The filter element 126 is similar to the filter element 102, shown in Figure 8. However, the filter element 126 does not have a center open tube. Rather, the filter element 126 is coiled about the end of the filter media. Flow passes along the periphery of the filter element 126 and then through the filter media. In flow 30 configurations wherein a center tube is not required, either of the filter elements 102 or 126 may be utilized. This configuration also eliminates the need for a center plug with the filter element 102. Prior pleated designs required a center perforated tube

for flow to the interior of the filter element and to provide support to the element. However, pleated filter elements 102 and 126 utilize flutes which provide support so that a supportive center tube is not required.

Referring to Figures 13 and 14, there is shown additional alternate 5 embodiments of end caps, generally designated 150 and 160 respectively. The end cap 150 includes cross braces 152 supporting the cap 150 with an orifice 154 formed in the center thereof. In addition, reinforcing blocks 156 are positioned at the interior wall of the cap 150. Similarly, the end cap 160 includes cross braces 162 having abutting center portions providing additional support and an orifice 164 10 formed in the center of the end cap 160. Reinforcing portions 166 provide additional support to the walls and base of the end cap 160.

Referring now to Figure 15, there is shown a second embodiment of fluted filter media, generally designated 200, having asymmetric flutes according to the principles of the present invention. The filter media 200 includes asymmetric flutes 15 202 forming substantially narrower peaks 204 and widened arcing troughs 206. The radius of the arc of the peaks 204 is less than the radius of the arc of the troughs 206 of the asymmetric flutes 202. The filter media 200 includes a center sheet 208 and facing sheets 210, including a first upper facing sheet 212 and a second lower facing sheet 214.

20 The facing sheets 210 are connected by upstream beads 224 and downstream beads 226. In this manner, the sheets 208, 212 and 214 form chambers 220 having their upstream ends closed and chambers 222 having their downstream ends closed.

It can be appreciated that with the configuration shown in Figure 15, the 25 upstream portion of the filter media 200 intercepting flow includes an enlarged opening for the chambers 222. In this manner, increased flow is intercepted by the fluted chambers 222 which then flow through the sheets 208, 212 and 214 and through the chambers 220. In addition, the asymmetric fluted filter media 200 provides for a self-supporting filter structure.

Referring now to Figure 16, the open end of the chambers 222 is 30 substantially larger than the bead 224 at the upstream end and the surface area transverse to the flow of the sheets 208, 212 and 214. This flute arrangement decreases the restriction at the filter inlet and provides for improved flow and

contaminant loading capacity. In addition, the flute configuration provides added support to the flutes to prevent collapse of the flutes under high pressure and resist filter media rupture.

Referring now to Figure 21, there is shown a layer of an alternate fluted filter media, generally designated 300, which may also be utilized with filter element 102. The fluted filter media 300 includes a multiplicity of tapered flute chambers 302. The flute chambers 302 are formed of a center fluting sheet 308 forming alternating peaks 304 and troughs 306 between facing sheets 310, including a first facing sheet 312 and a second facing sheet 314. However, the media 300 can be coiled so that 10 the same sheet serves as the first facing sheet for one layer of flutes and the second facing sheet of an adjacent layer. The troughs 306 and peaks 304 divide the flutes 302 into an upper row and a lower row. In the configuration shown in Figure 21, the upper flutes form flute chambers 322 closed at the downstream end, while upstream closed end flute chambers 320 are the lower row of flute chambers. The fluted 15 chambers 320 are closed by first end bead 324 completely filling a section of the upstream end of the flute between the center fluting sheet 308 and the second facing sheet 314. Similarly, a second end bead 326 closes the downstream end of alternating flutes 302. Adhesive tacks at their apex connect the peaks 304 and troughs 306 of the flutes 302 to the facing sheets 312 and 314. The flutes 302 and 20 end beads 324 and 326 provide a filter element which is structurally self-supporting without a housing.

It can be appreciated that the flutes 302 taper along their length. The flute chambers 320 having their upstream end closed, widen along the trough to an enlarged downstream opening, as shown in Figure 21. Similarly, chambers 322 25 have a large upstream opening, as shown in Figures 21 and 22, and taper to a narrowed closed end. In this manner, the portion of the filter media intercepting the upstream flow that is open is substantially increased. In addition, as the fluid flows along the flutes and passes through the walls of the filter media 302, either center sheet 308 or facing sheets 312 and 314, the fluid will flow out an enlarged open end 30 on the downstream side of the filter.

The filter media 300 has tapered flute chambers 320 which have a closed upstream end and flute chambers 322 which have an open upstream end. It can be

appreciated that with tapered flutes 302, flute chambers 322 have a larger cross sectional area transverse to the flow than the cross sectional area of the closed chambers 322 and the edges of the sheets 308, 312 and 314. In this manner, the filter media 300 intercepts greater flow with less resistance. As the flute chambers 5 320 and 322 taper inversely to one another, the end of the chambers are reversed in size at the downstream edge. With this configuration, it can be appreciated that the flute chambers 320 have a much smaller cross section at the closed downstream end of the filter media 300 and the flute chambers 322 have a much larger open cross sectional area at the downstream end. Therefore, the flow passes in through the 10 larger openings of chambers 320 and out through the larger openings at the downstream ends of the flute chambers 322. With this configuration, flow passes through filter media 300 having much greater open space with less resistance, while still providing sufficient filter media area in the same volume.

Referring now to Figure 17, there is shown a sealing bead arrangement for 15 the filter apparatus 100A shown in Figure 9. In the arrangement shown, a filter element 170 shown in Figure 18 has flutes 172 extending radially outward from the backing layer. A gasket or bead 174 extends around the periphery of the filter element 170. The filter housing 104 may also have a bead 176 applied to the interior for engaging the gasket for providing a better seal around the exterior element 170 to 20 the interior of filter housing 104.

As shown in Figure 18, the sealant bead 174 provides a substantially circular profile for the filter element 170, rather than having spaces intermediate the flutes 172. The profile provides an improved seal against the interior of the housing 104.

Referring now to Figure 19, there is shown a filter element 180 having the 25 flutes extending radially inward so that the exterior of the filter element 180 includes a substantially circular profile with the backing sheet to the outside of the filter element. In the embodiment shown, a pair of gaskets or sealant beads 182 are applied at spaced intervals along the periphery of the filter element 180. In addition, the filter housing 104 includes formed radially outward extending recesses 184 30 corresponding to the spacing of the gaskets 182. In this manner, the gaskets seat properly and position the filter element 180 within the filter housing 104.

Referring now to Figure 20, there is shown another alternate embodiment of the filter apparatus 100A having exterior to interior flow from the open end 106 through the filter element 102A to the closed end. In the embodiment shown, the filter 100A again includes a filter element 102A with the housing 104 and the

5 mounting member 116 having the divider portion 124 and gasket 118 identical to that shown in Figure 9. A gasket 108A forms a seal between the center annular dividing segment 124 and the extension 123 of the center tube 122. In this manner, flow is directed from the open end 106 through the fluted filter element 102A to the closed end of the filter housing 104. To avoid the need to seal the filter element

10 102A to the housing 104, and to provide improved flow, an end cap 190 is utilized at the closed end of the filter housing 104. The end cap 190 includes intersecting cross braces 192 providing additional support and is attached to the filter element 102A prior to insertion in the housing 104. Since the end cap 190 is sealed to the exterior of the filter element 102A, there is no need for a seal between the filter element

15 102A and the housing 104. The end cap 190 has some flex and provides a biasing force to help position the filter element 102A. The fluid flows out the filter element 102A at the closed end to engage the angled portion of the end cap 190 and is directed radially inward to the center tube 122. From there, the liquid flows upward back to the center of the annular center segment 124 and out the filter apparatus

20 100A. The filter end cap 190 decreases the number of parts and provides proper flow and sealing arrangements as well as support for the filter element 102A.

It is to be understood, however, that even though numerous characteristics and advantages of the present invention have been set forth in the foregoing description, together with details of the structure and function of the invention, the

25 disclosure is illustrative only, and changes may be made in detail, especially in matters of shape, size and arrangement of parts within the principles of the invention to the full extent indicated by the broad general meaning of the terms in which the appended claims are expressed.

WHAT IS CLAIMED IS:

1. A filter apparatus, comprising:
 - a filter housing, comprising a cylindrical portion having a first closed end
 - 5 and a second open end;
 - fluted filter media having a plurality of flutes, wherein the plurality of flutes includes adjacent flutes having alternating ends closed;
 - means for dividing the open end between a inlet portion and an outlet portion, wherein fluid enters the inlet and a first end of the filter media and exits a
 - 10 second end of the filter media and the outlet.
2. A filter apparatus according to claim 1, wherein the flutes extend axially in the housing, and wherein fluid enters the flutes open proximate the open end of the filter housing.
- 15 3. A filter apparatus according to claim 2, wherein the filter media forms an opening at a center portion thereof and wherein filtered fluid exits flutes open proximate the closed end of the filter housing and flows through the opening at the center portion of the filter media.
- 20 4. A filter apparatus according to claim 1, wherein the housing forms a channel intermediate the housing and an outer portion of the filter media, and wherein the open end includes a divider forming an inlet in fluid connection to the channel, wherein fluid flows through the channel and into the end of the filter media proximate the closed end of the filter housing.
- 25 5. A filter apparatus according to claim 4, wherein the divider forms a barrier between the channel and the filter media, proximate the open end of the filter housing.
- 30 6. A filter apparatus according to claim 1, wherein the filter media comprises a layer of fluted filter material rolled into a cylindrical filter.

7. A filter apparatus according to claim 6, wherein the cylindrical filter includes at least one bonded end.
- 5 8. A filter apparatus according to claim 1, further comprising cross braces at one end of the filter.
10. A filter apparatus according to claim 1, further comprising a sealing bead intermediate the filter housing and an exterior of the filter media.
11. A filter apparatus according to claim 10, wherein the filter media comprises a layer of filter media having a backing sheet and flutes formed thereon, wherein the media is rolled with the flutes extending radially outward from the backing sheet.
- 15 12. A filter apparatus according to claim 1, wherein the fluted filter media comprises asymmetric flutes.
13. A filter apparatus, comprising:
a cylindrical filter housing having an open end and a closed end;
- 20 25 14. A filter apparatus according to claim 13, further comprising:
a cylindrical filter element, comprising:
fluted filter media rolled into a cylindrical configuration, wherein the filter media comprises a plurality of flutes and wherein alternating flutes are closed at opposite ends;
wherein the filter media and filter housing form a channel therebetween;
an annular segment at the open end of the filter housing engaging a periphery of the filter element and forming an inlet and an outlet at the open end.
- 30 15. A filter apparatus according to claim 13, further comprising bonding at least one end of the filter element.

15. A filter apparatus according to claim 13, further comprising cross braces at one end of the filter element.
16. A filter apparatus, comprising:
 - 5 a cylindrical filter housing having an open end and a closed end;
 - a cylindrical filter element, comprising:
 - 10 fluted filter media rolled into a cylindrical configuration, wherein the filter media comprises a plurality of flutes and wherein alternating flutes are closed at opposite end;
 - wherein the filter media forms a central channel;
 - an annular segment at the open end of the filter housing engaging an interior of the filter element and forming an inlet and an outlet at the open end.
17. A filter apparatus according to claim 16, further comprising an end cap at one end of the cylindrical filter element, the end cap having an angled portion intercepting flow.
18. A filter apparatus according to claim 17, wherein the end cap mounted proximate the open end of the housing and has a central orifice formed therein.
- 20 19. A filter apparatus according to claim 17, wherein the end cap mounts proximate the closed end of the housing and engages an inner portion of the housing.
- 25 20. A method of filtering fluid through a filter apparatus comprising a filter housing having an open end and a closed end, with a fluted filter element located within the housing, the method comprising the steps of:
 - ~ directing unfiltered fluid into the housing along a periphery of the fluted filter element to the closed end;
 - passing the unfiltered fluid through the fluted filter element.
- 30 21. A method according to claim 20, wherein the open end of the housing includes an annular center segment dividing the open end into an exterior annular

inlet and a center outlet, and wherein unfiltered fluid enters the inlet and filter fluid passes out the outlet.

22. A method of filtering fluid through a filter apparatus, the filter apparatus comprising a filter housing having an open end and a closed end, and having a fluted filter element having a center axial opening formed therethrough, the method comprising the steps of:

5 passing unfiltered fluid from the open end through the fluted filter element to the closed end;

10 directing filtered fluid from the closed end through the center axial opening and out the open end.

23. A method according to claim 22, wherein the open end of the housing includes a annular center segment dividing the open end into an exterior annular 15 inlet and a center outlet, and wherein unfiltered fluid enters the inlet and filter fluid passes out the outlet.

24. A filter apparatus according to claim 1, wherein the fluted filter media comprises tapered flutes.

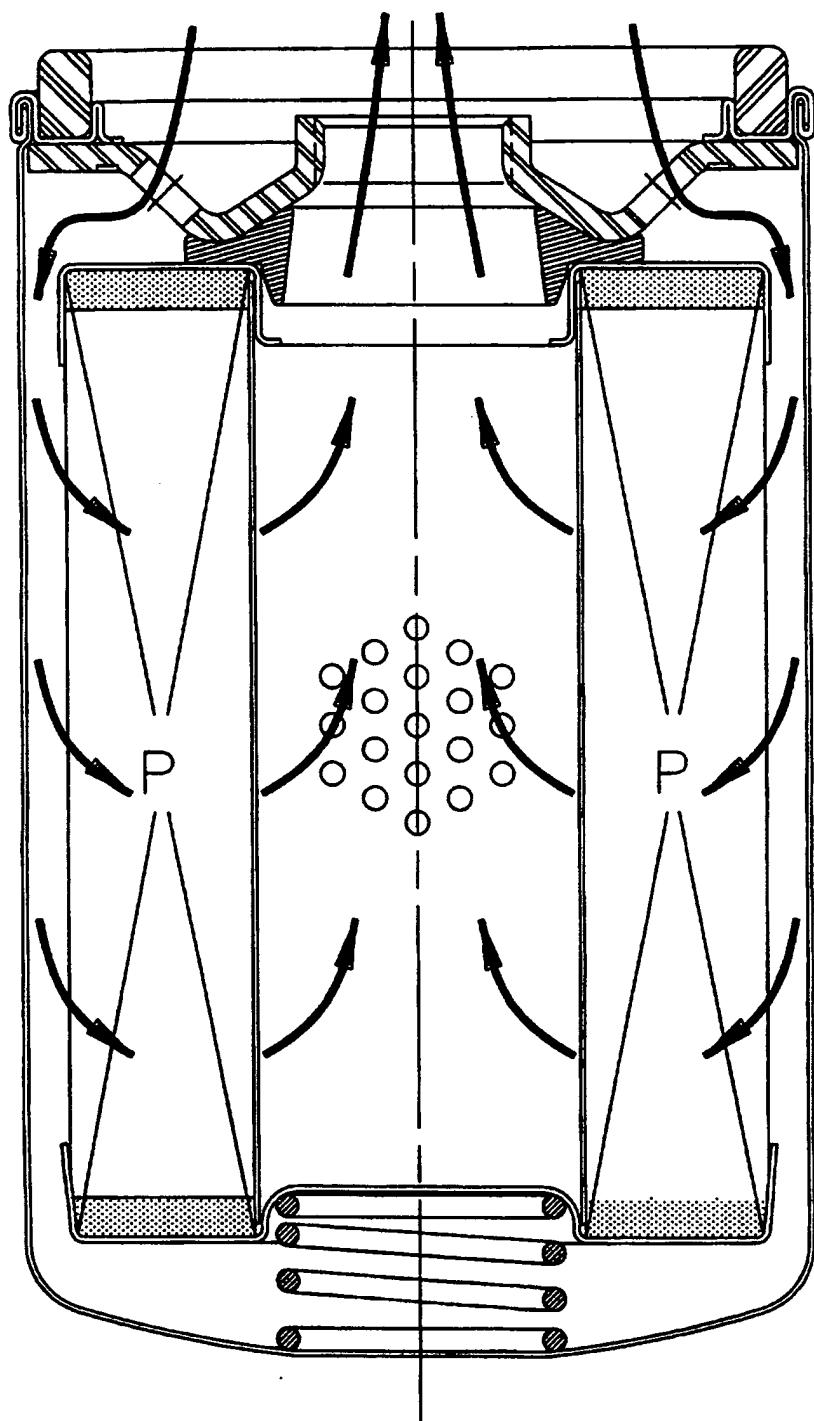


FIG. 1
PRIOR ART
SUBSTITUTE SHEET (RULE 26)

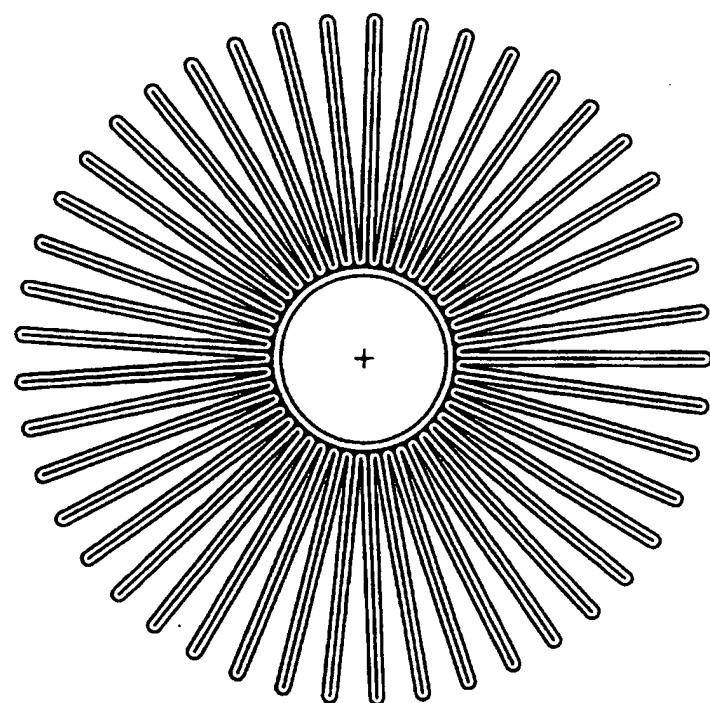
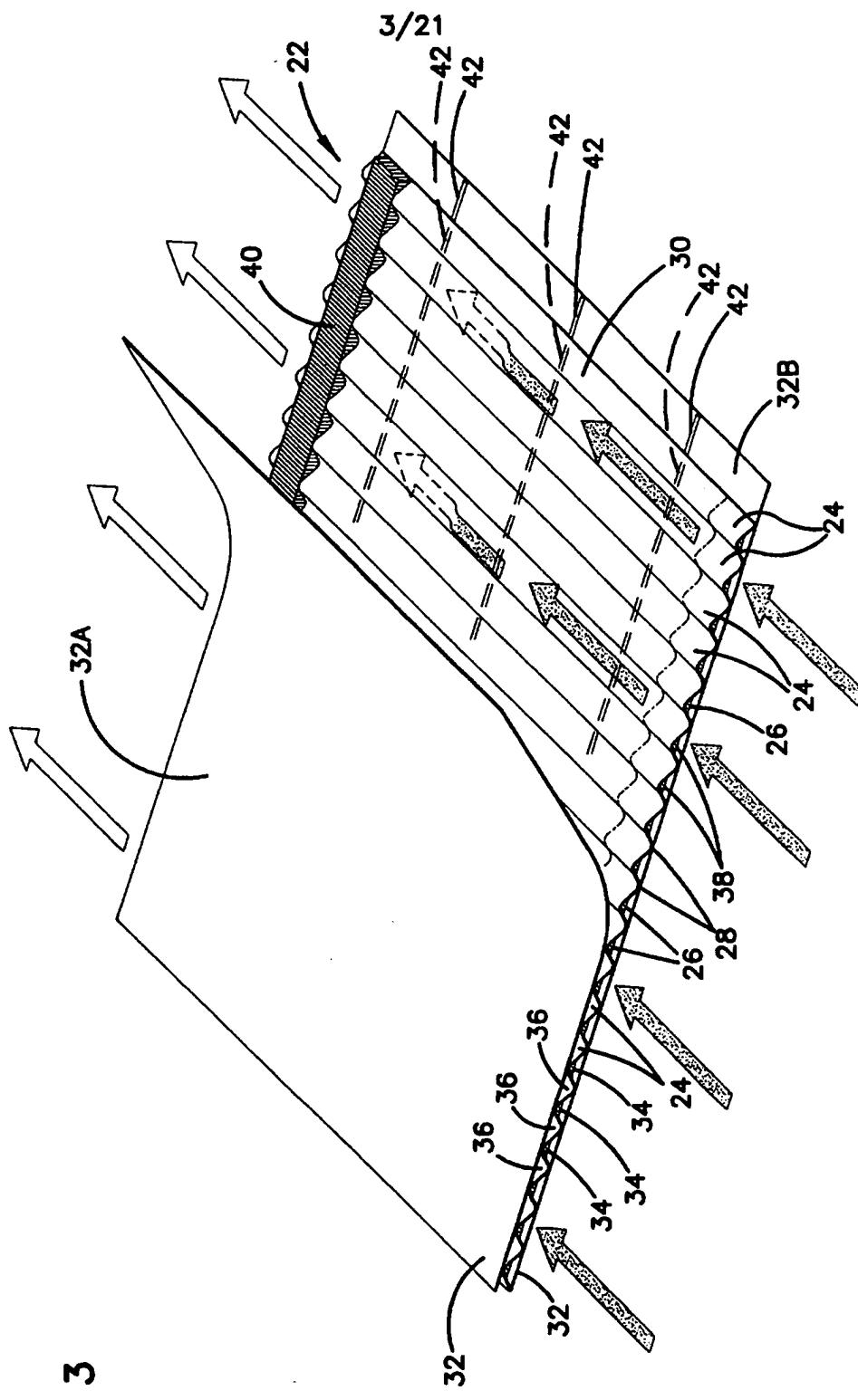


FIG. 2
PRIOR ART

SUBSTITUTE SHEET (RULE 26)



3
FIG.

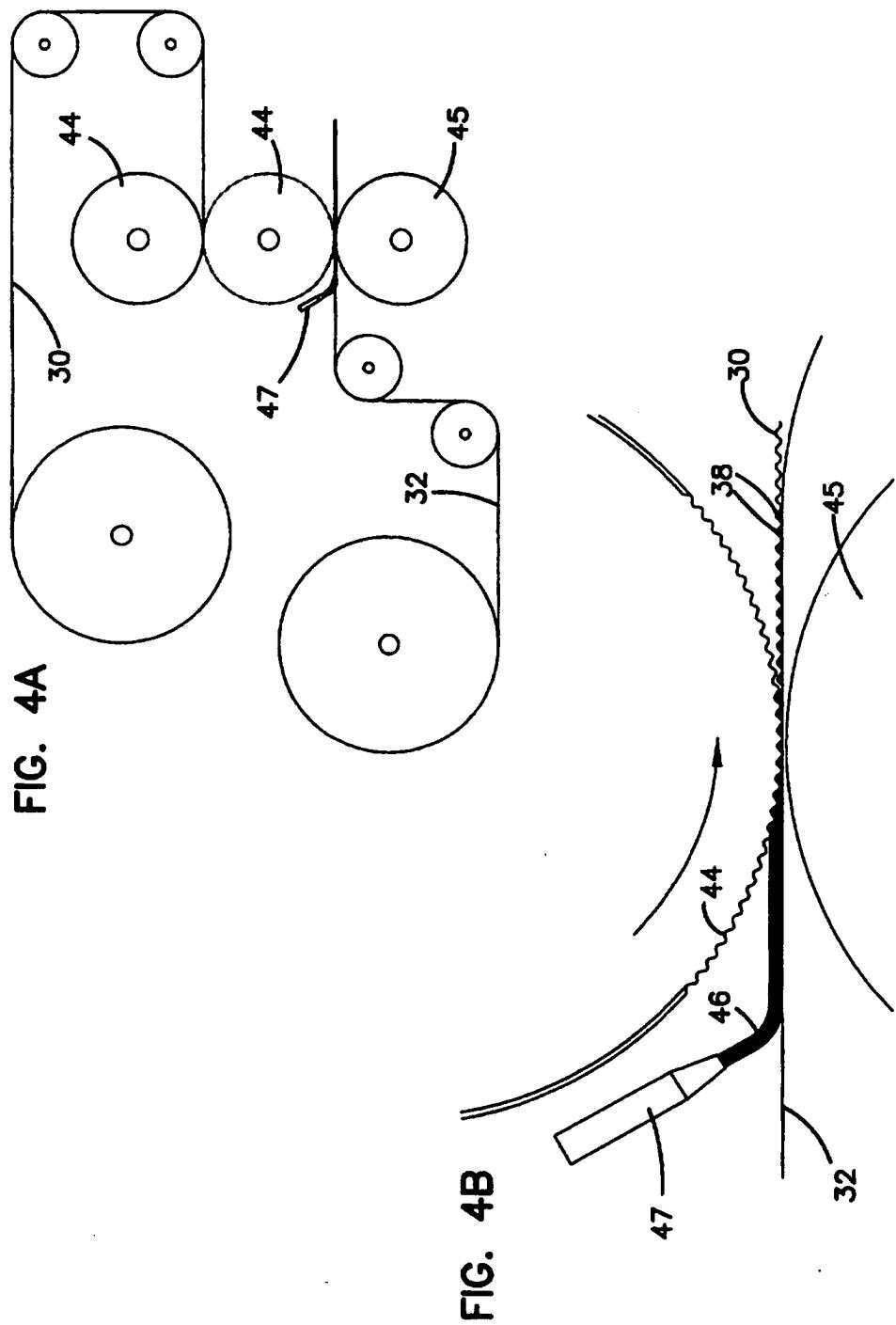


FIG. 5

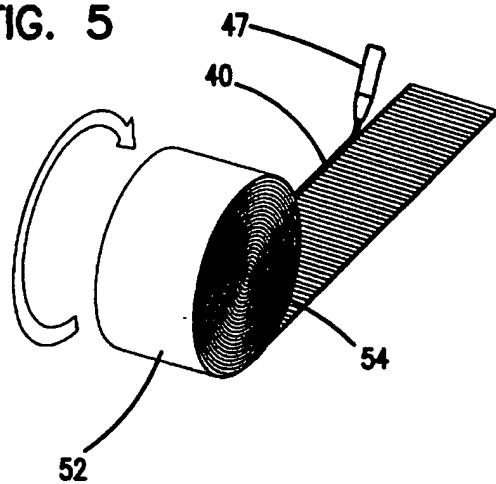
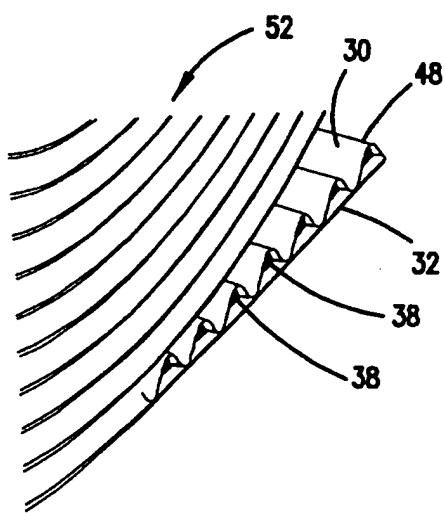


FIG. 6



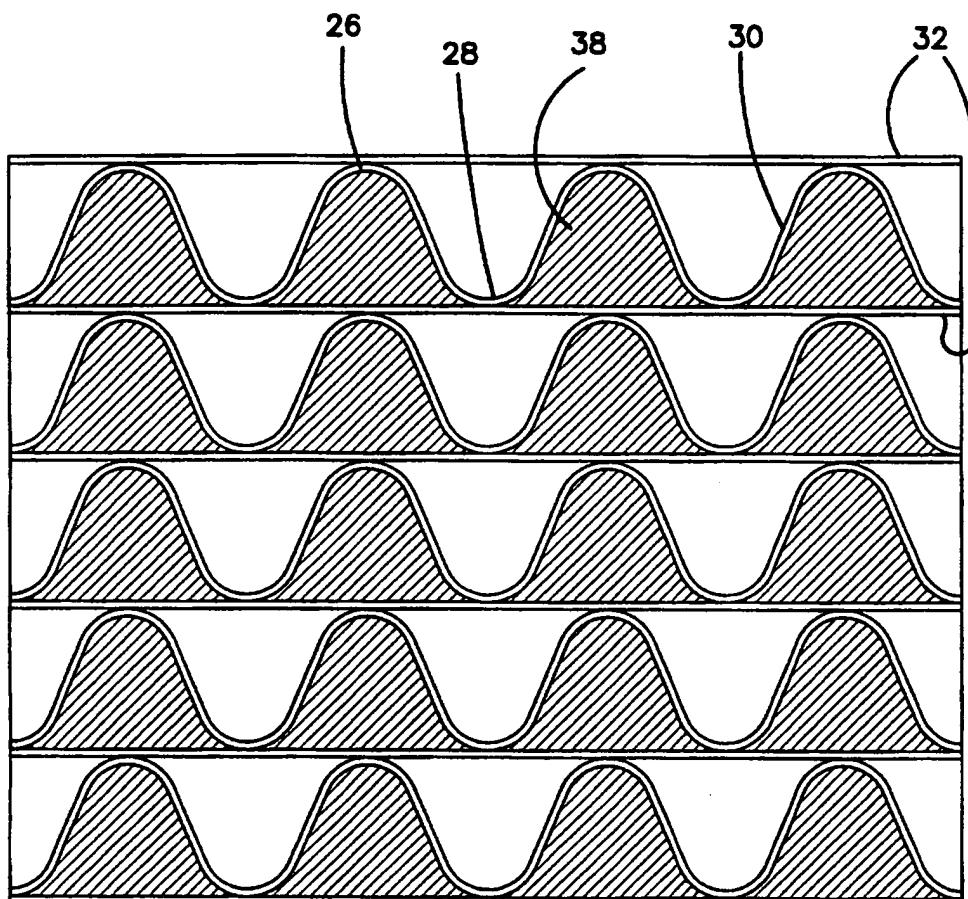


FIG. 7

SUBSTITUTE SHEET (RULE 26)

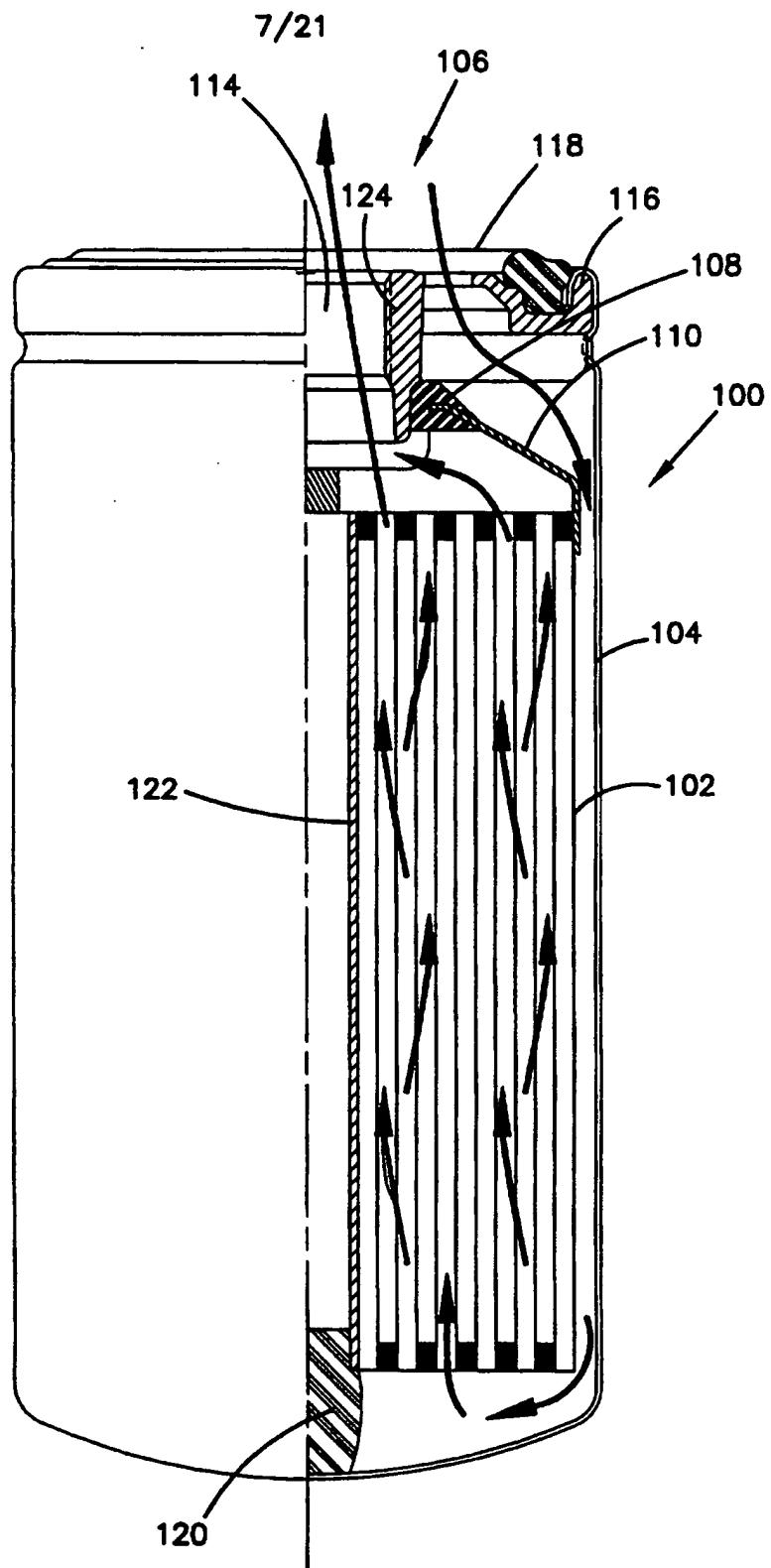


FIG. 8

SUBSTITUTE SHEET (RULE 26)

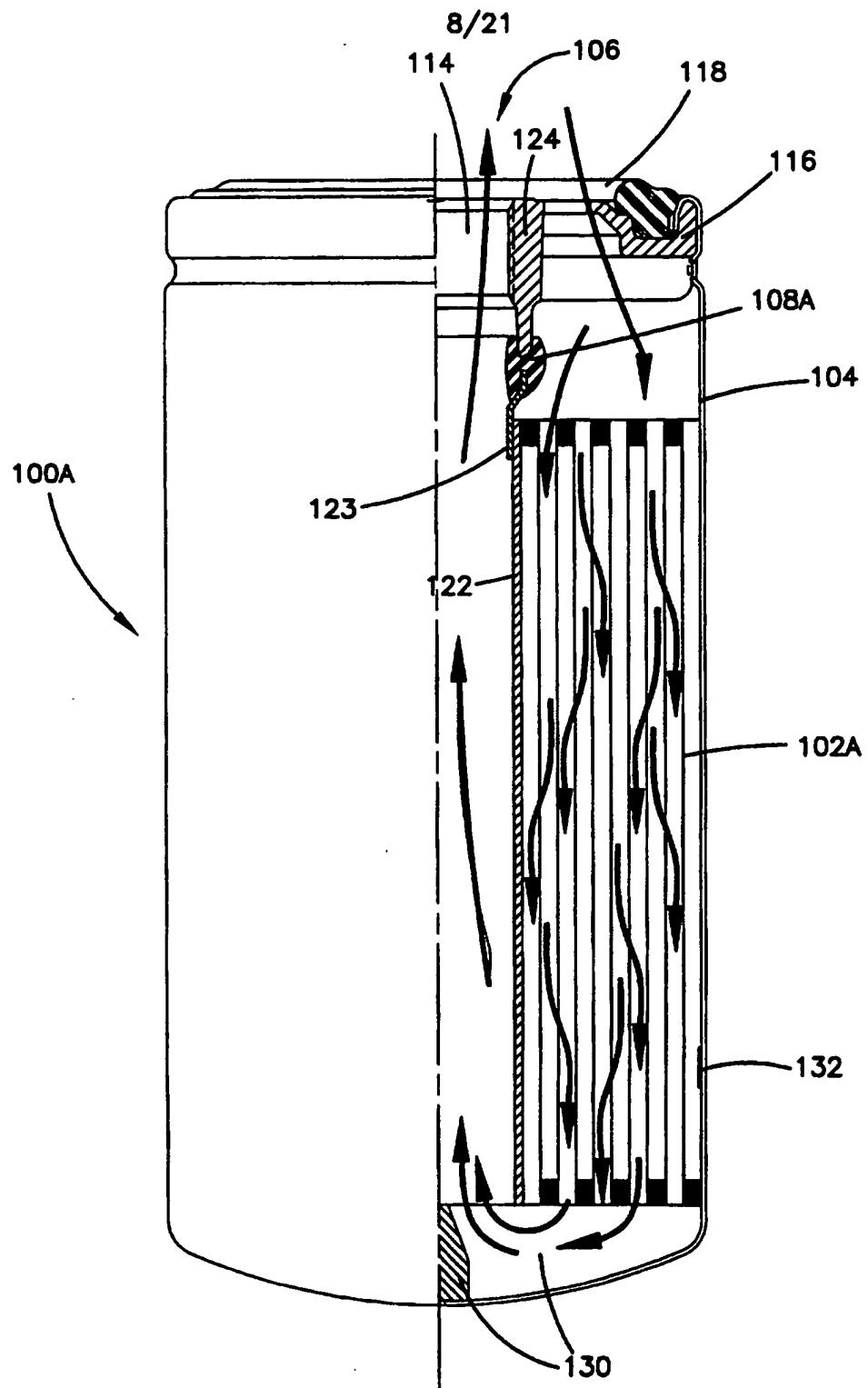


FIG. 9

9/21

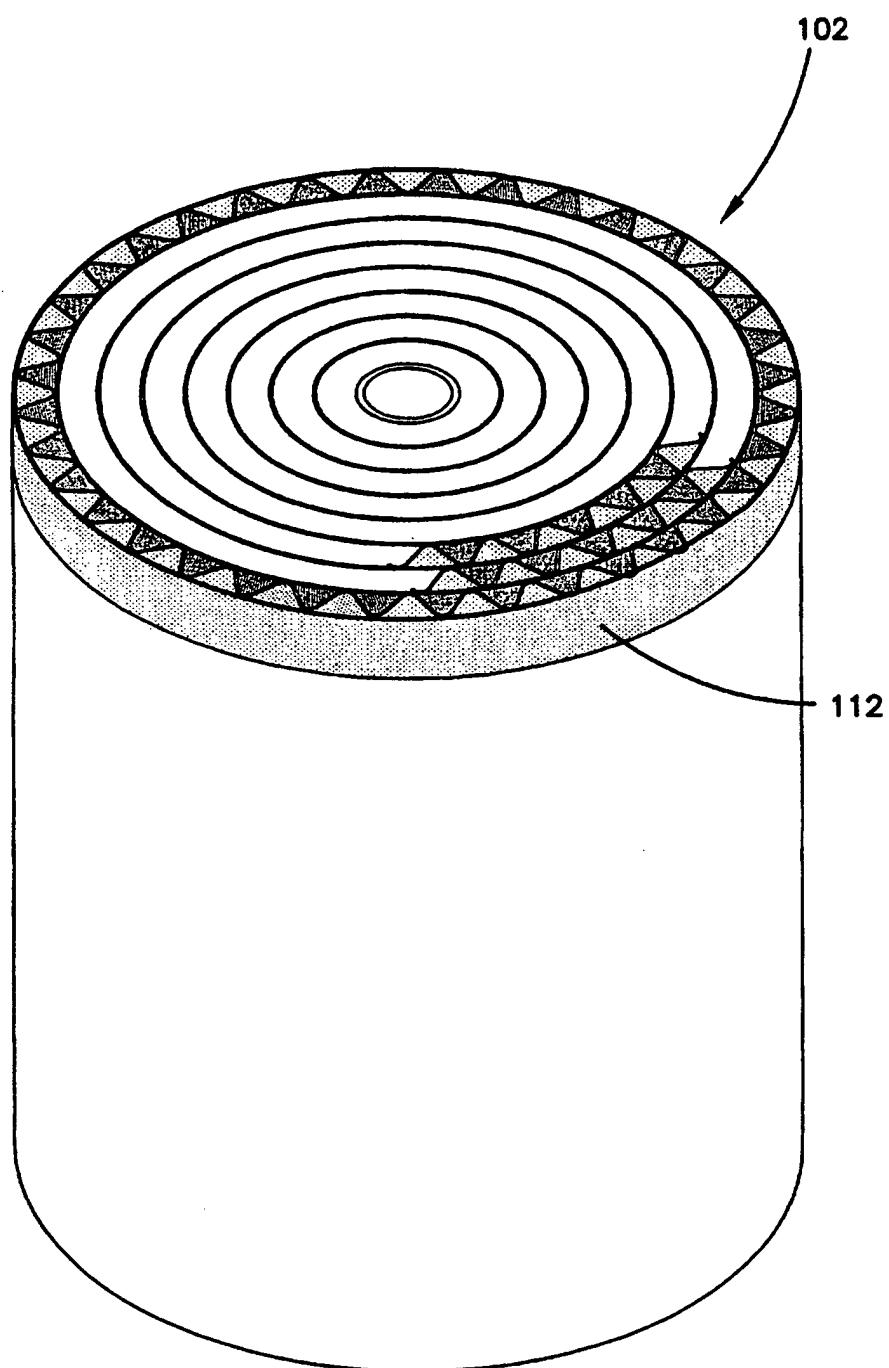


FIG. 10

SUBSTITUTE SHEET (RULE 26)

10/21

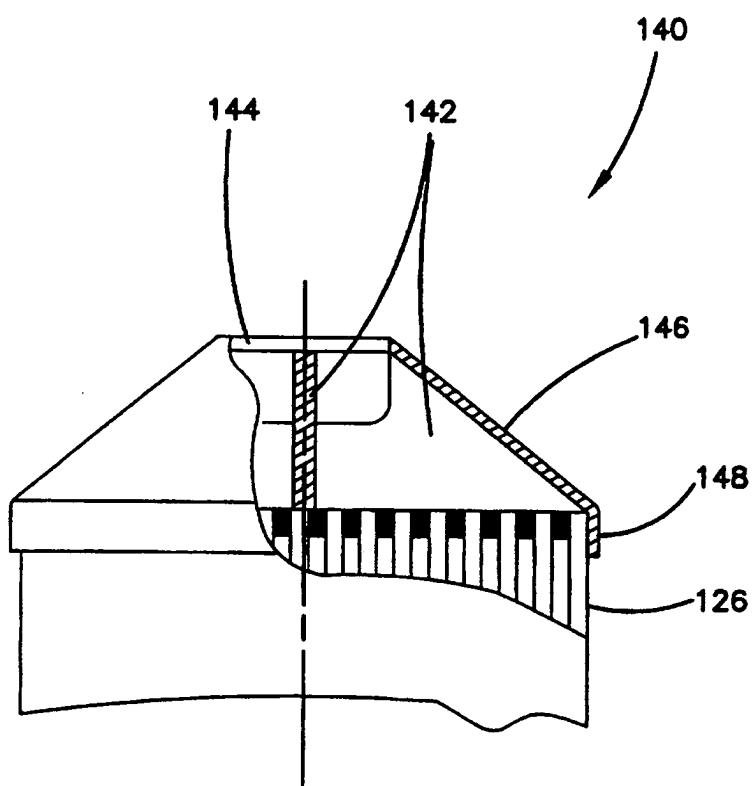


FIG. 11

SUBSTITUTE SHEET (RULE 26)

11/21

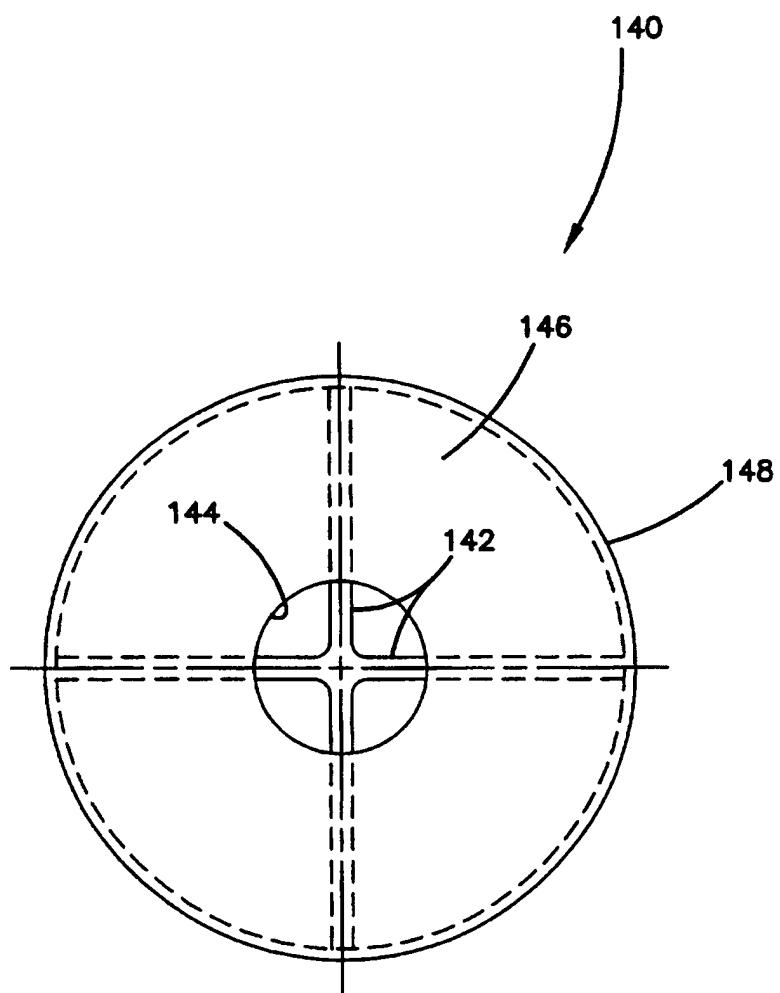


FIG. 12

SUBSTITUTE SHEET (RULE 26)

12/21

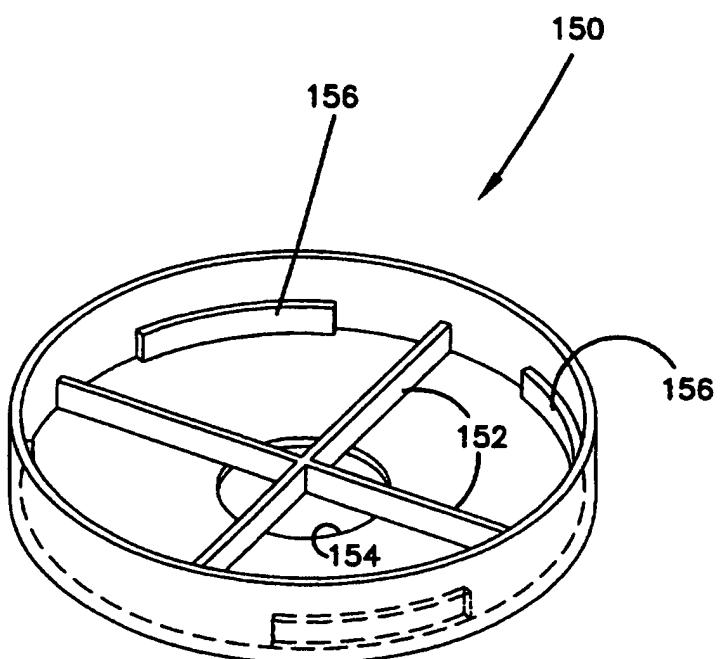


FIG. 13

SUBSTITUTE SHEET (RULE 26)

13/21

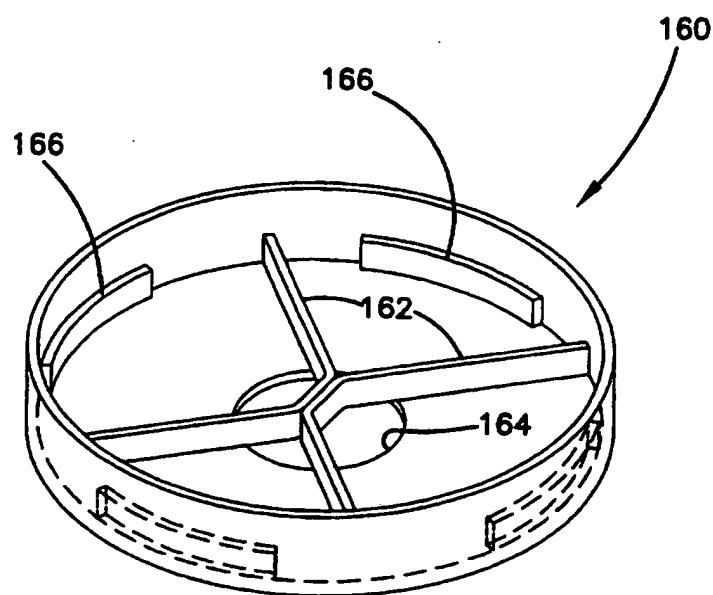


FIG. 14

SUBSTITUTE SHEET (RULE 26)

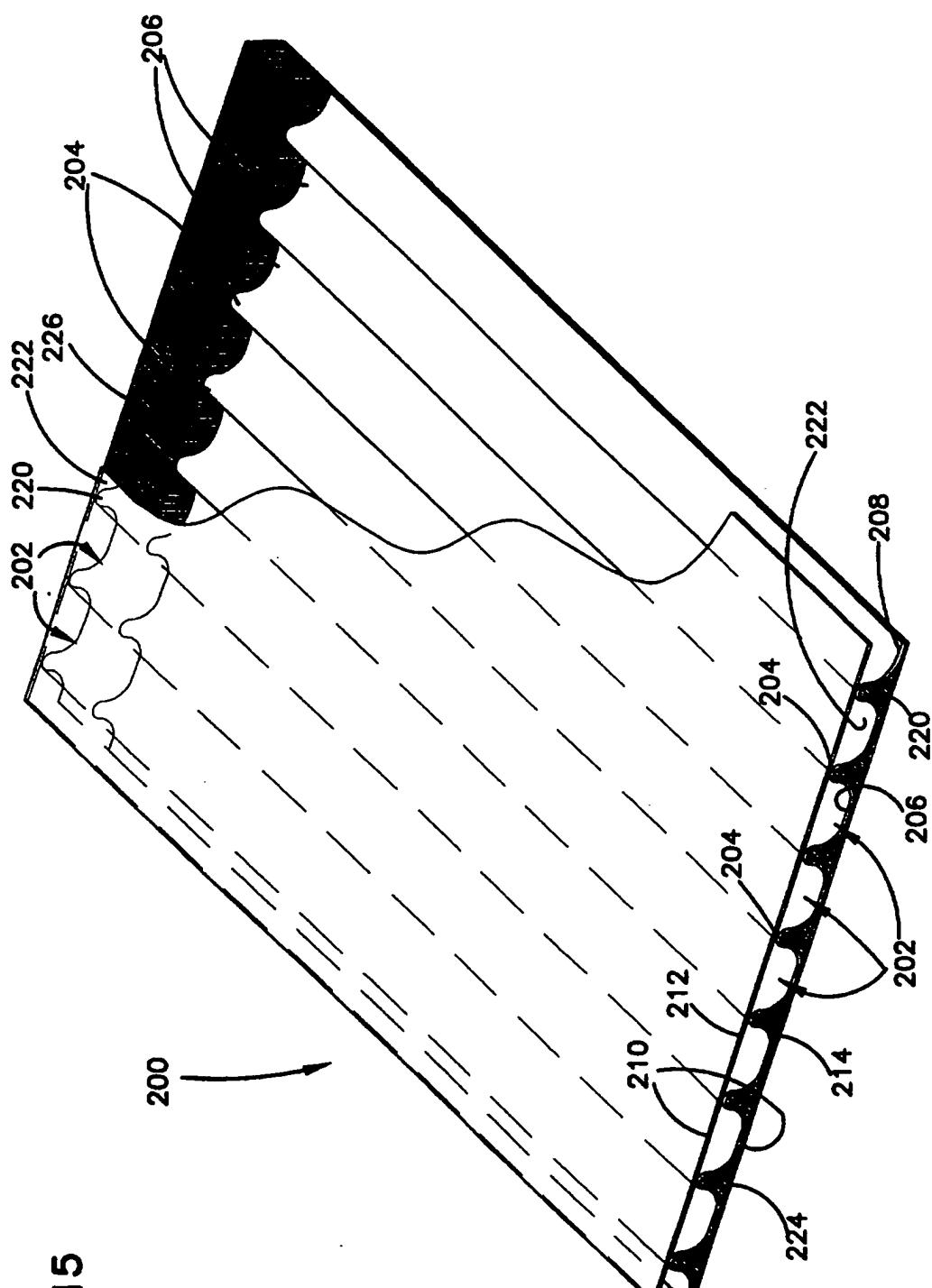


FIG. 15

15/21

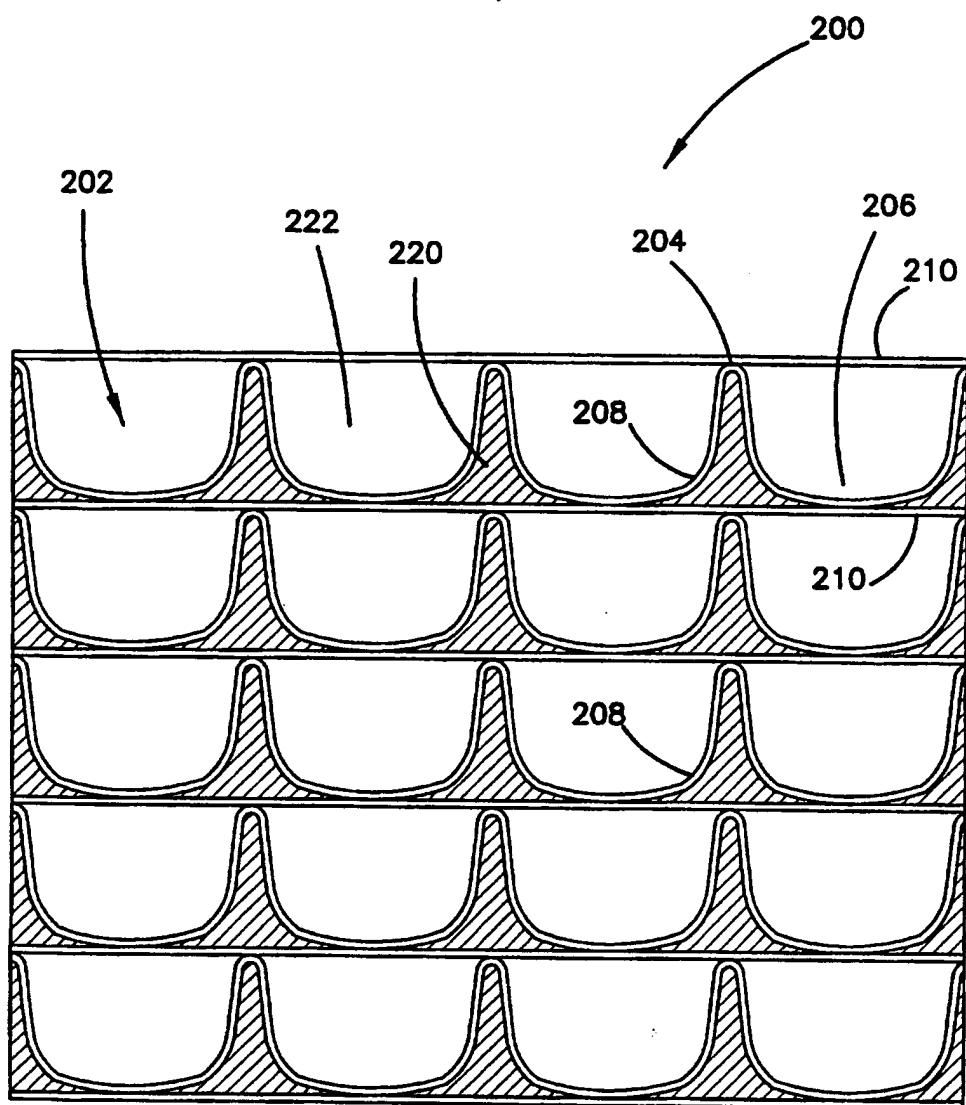


FIG. 16

16/21

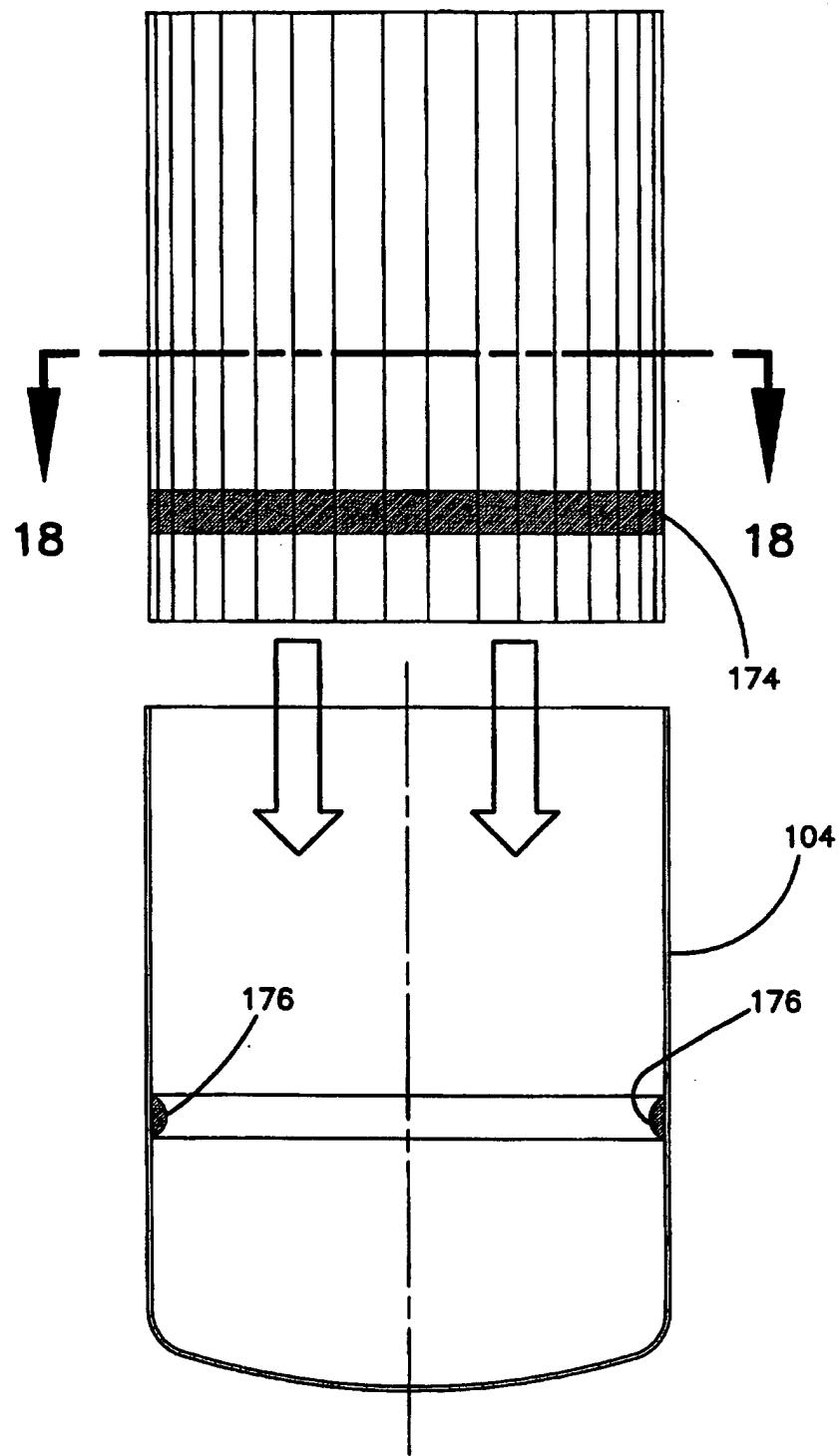


FIG. 17

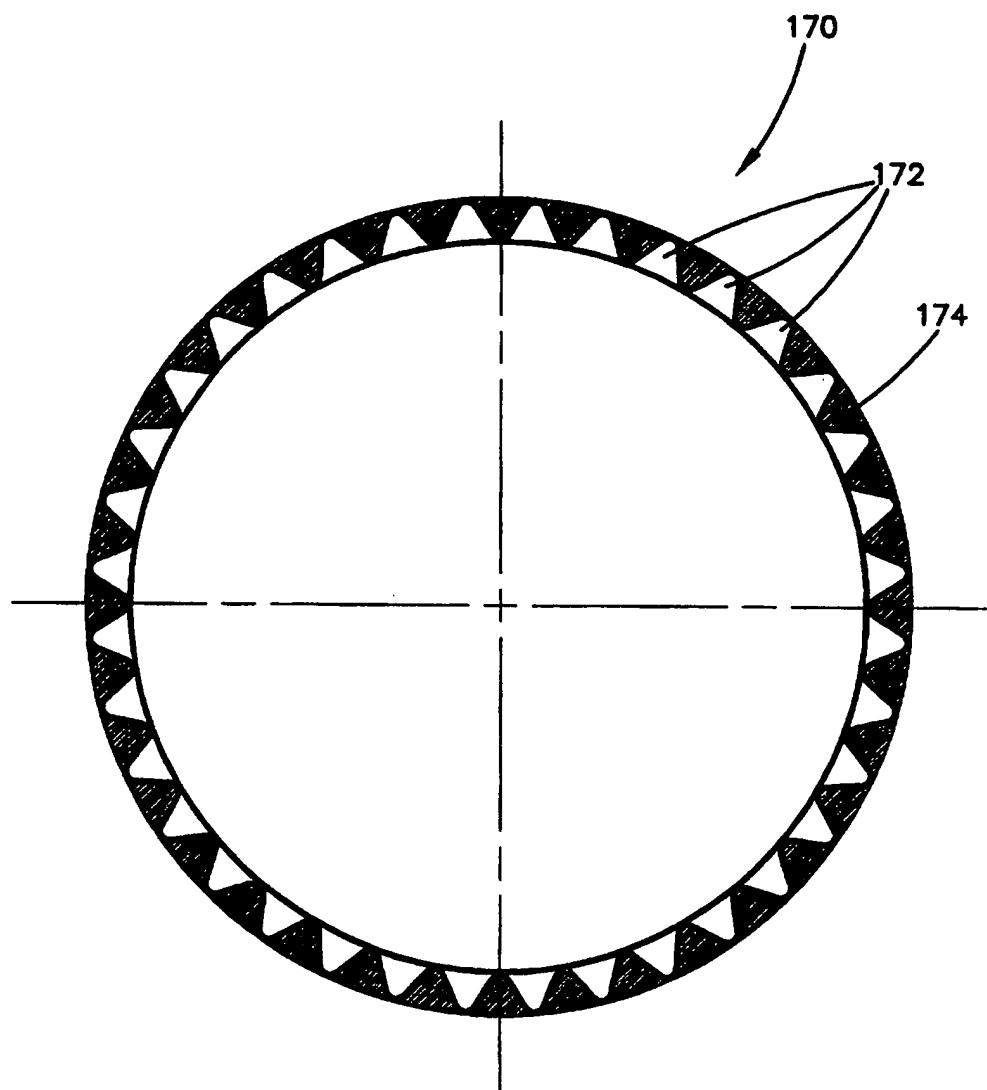


FIG. 18

SUBSTITUTE SHEET (RULE 26)

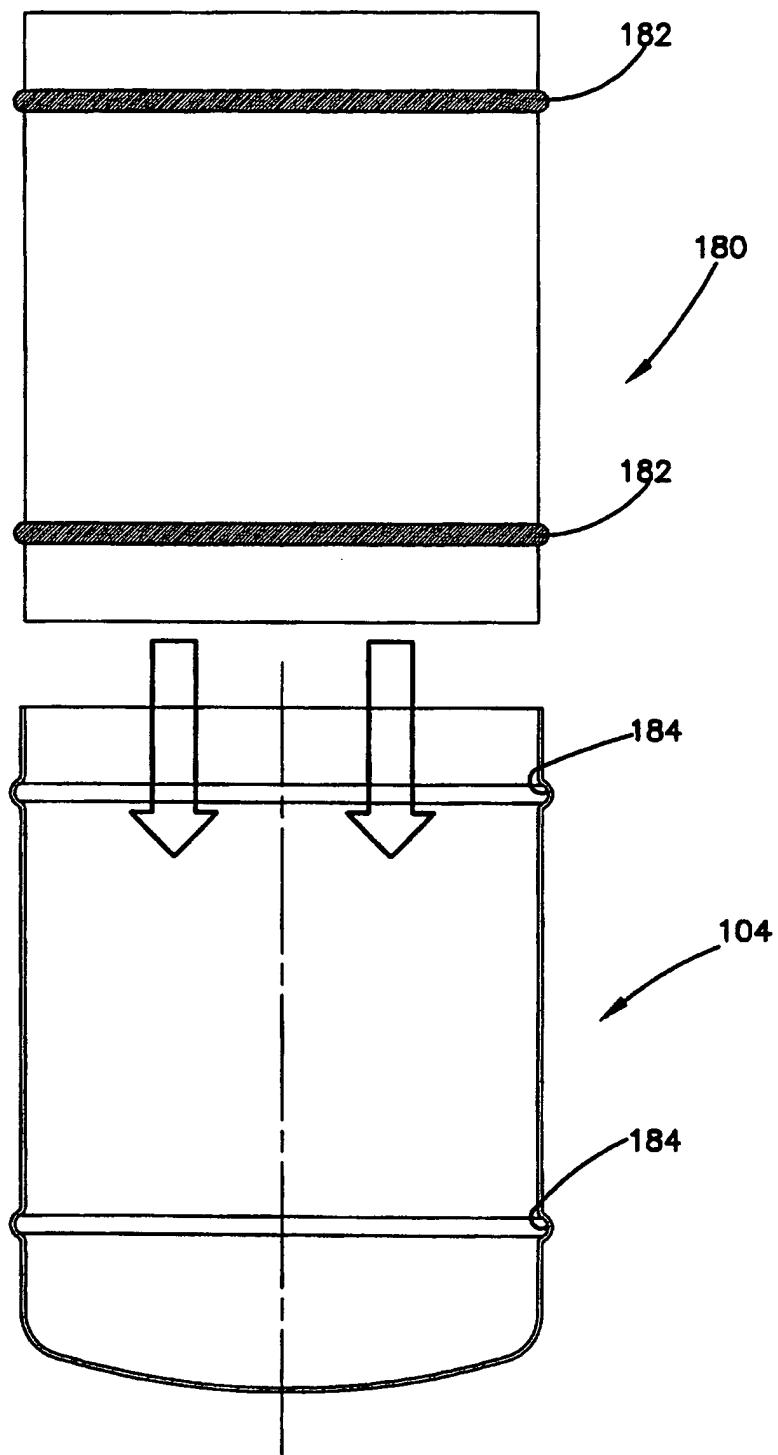


FIG. 19

SUBSTITUTE SHEET (RULE 26)

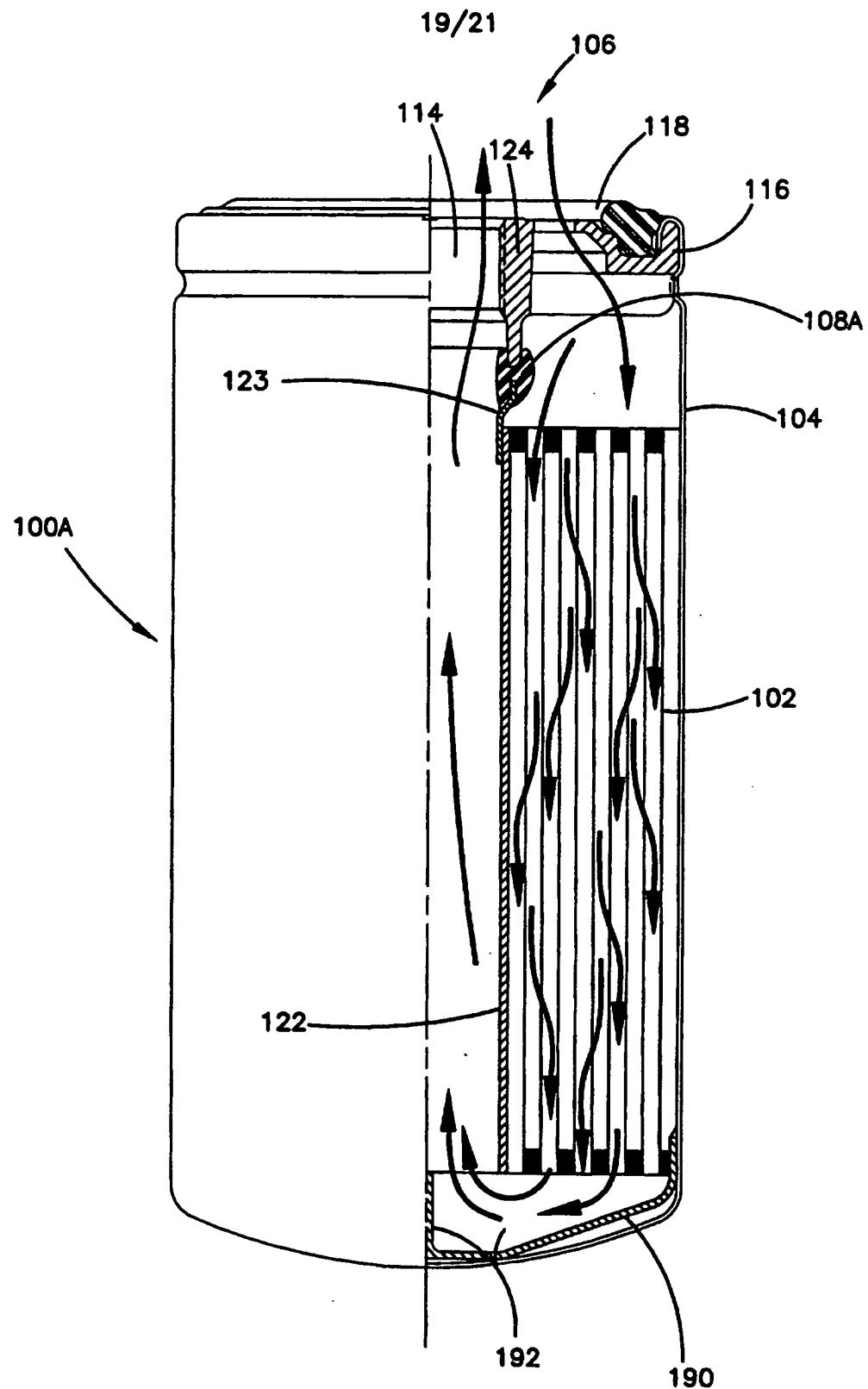
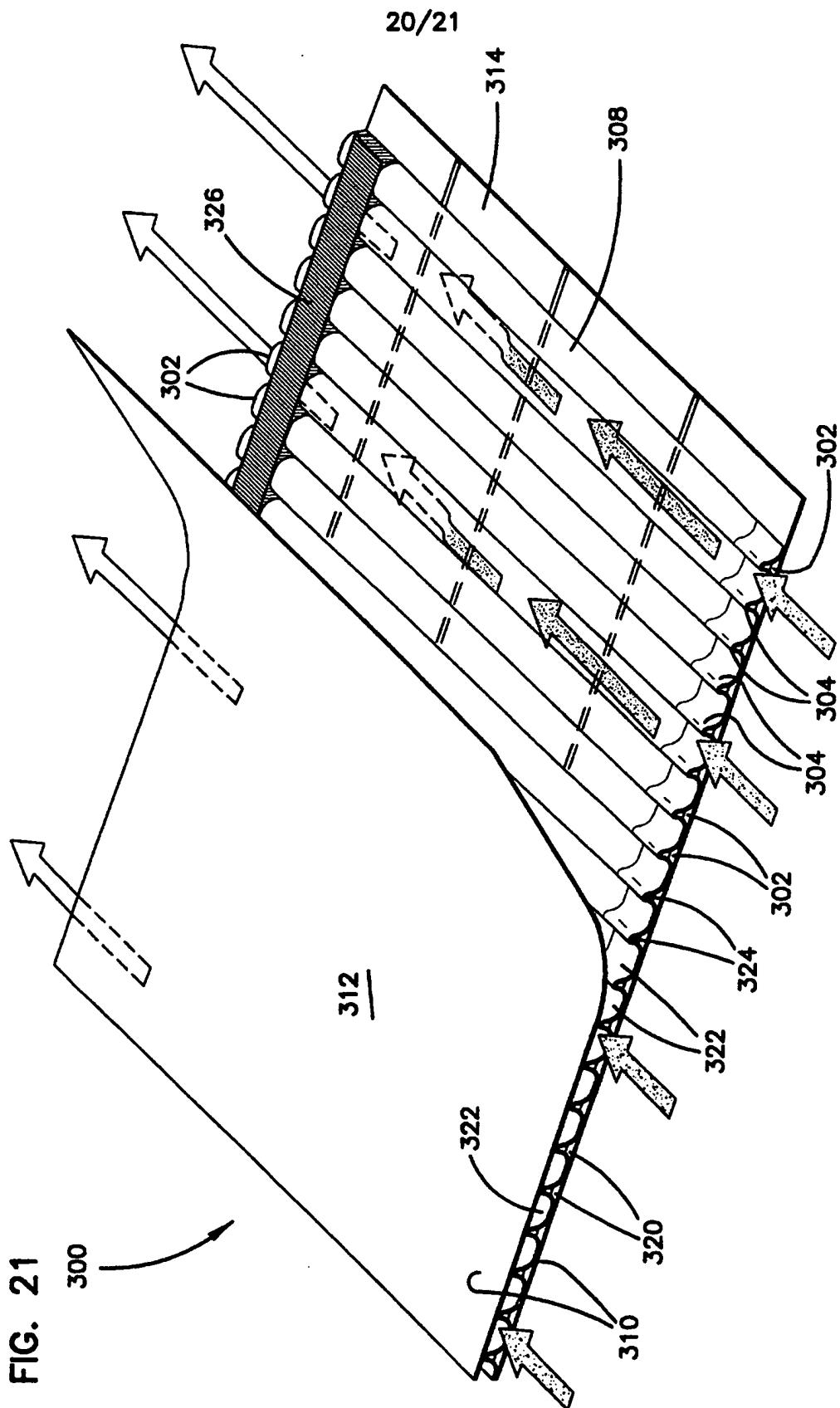


FIG. 20



21/21

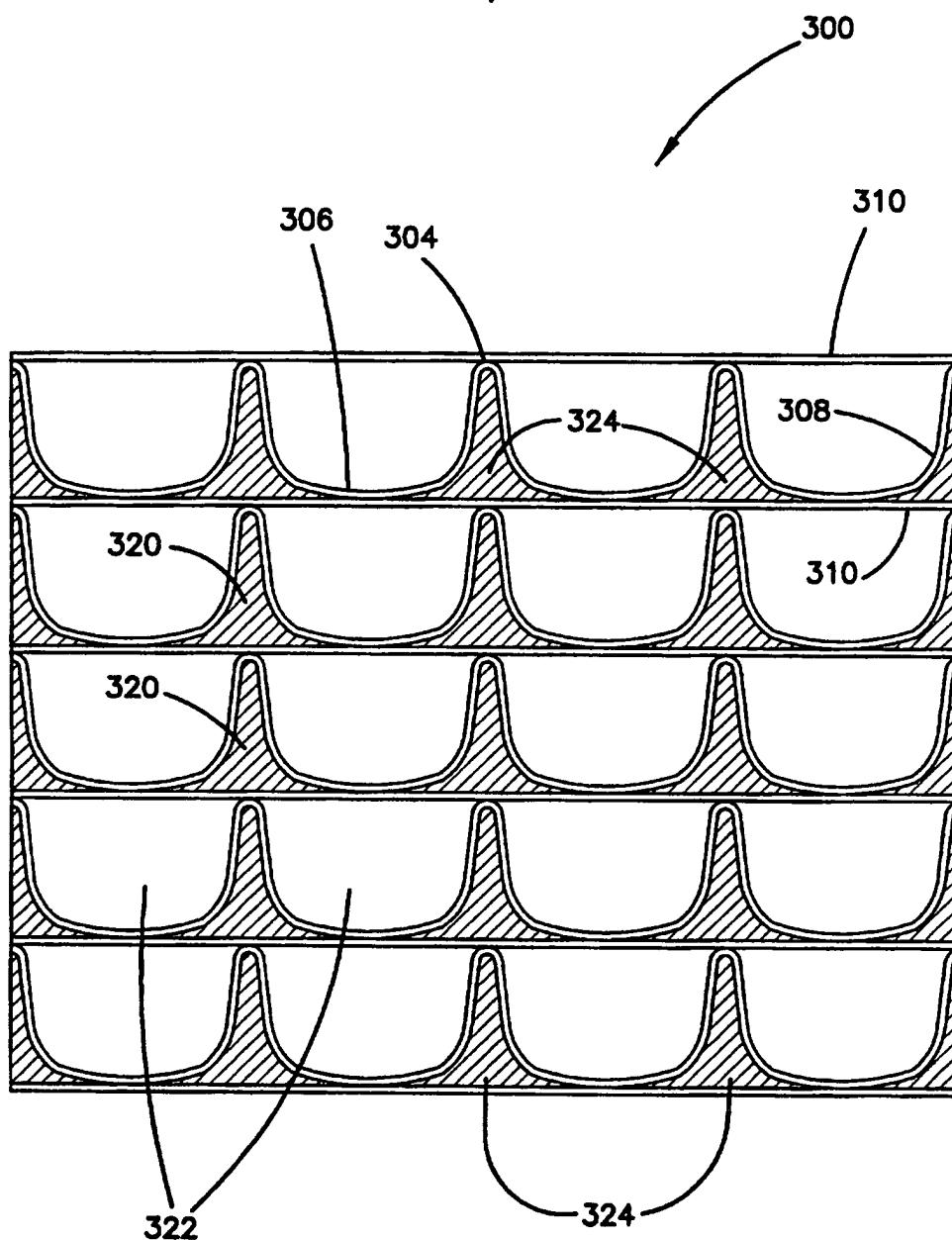


FIG. 22

SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

Int. .onal Application No
PCT/US 97/06613

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 B01D27/07 B01D29/07 B01D25/24 B01D27/08 B01D35/30

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 B01D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 88 03432 A (EASTMAN KODAK CO) 19 May 1988	1,2,6,8, 10,22,23
Y	see page 2, line 30 - page 5, line 36; figures 1-5	24
X	WO 88 03431 A (EASTMAN KODAK CO) 19 May 1988 see page 3, line 6 - page 5, line 24; figures 1,4,5	1,2,6,8, 10
X	US 4 925 561 A (ISHII YOSHIO ET AL) 15 May 1990 see column 5, line 45 - column 6, line 23; figures 9-12	1,2,6, 10,12 24
X	US 4 718 926 A (NAKAMOTO MITSUYOSHI ET AL) 12 January 1988 see abstract	1,6

	-/-	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

1

Date of the actual completion of the international search

Date of mailing of the international search report

18 August 1997

02.09.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+ 31-70) 340-3016.

Authorized officer

Hoffmann, A

INTERNATIONAL SEARCH REPORT

International Application No PCT/US 97/06613	
---	--

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 83 01582 A (DONALDSON CO INC) 11 May 1983 see abstract; claims 1-8; figures 1-3 ---	1,6
A	EP 0 347 477 A (DRORI MORDEKI) 27 December 1989 see figures 27,28 -----	11

1

INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. Appl. No.

PCT/US 97/06613

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 8803432 A	19-05-88	AU 601006 B AU 8272587 A CA 1319622 A DE 3789449 D DE 3789449 T EP 0328551 A US 4824564 A		30-08-90 01-06-88 29-06-93 28-04-94 03-11-94 23-08-89 25-04-89
WO 8803431 A	19-05-88	AU 8326087 A		01-06-88
US 4925561 A	15-05-90	NONE		
US 4718926 A	12-01-88	JP 61205314 A JP 61205315 A EP 0205755 A		11-09-86 11-09-86 30-12-86
WO 8301582 A	11-05-83	US 4410427 A AU 548875 B AU 1014283 A BR 8207950 A CA 1169362 A DE 3249151 A FR 2515526 A GB 2119672 A,B US 4589983 A		18-10-83 02-01-86 18-05-83 04-10-83 19-06-84 12-01-84 06-05-83 23-11-83 20-05-86
EP 0347477 A	27-12-89	US 4906373 A US 5030347 A		06-03-90 09-07-91

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.